ERTIFICATE OF MAILING UNDER 37 C.F.R. 81.8

eoriespondence is being deposited with the United States Postal Service as first class mail, with I hereby certify that sufficient postage, in an envelope addressed to: Commissioner for Patents, P. 9. Box 1450, Alexandria on the below date:

Date: Jauary 25, 2007 Name: Jasper W. Dockrey BRINKS HOFER &LIONE

Examiner: Not Yet Assigned

|          |        | //     |          |         |           |        |
|----------|--------|--------|----------|---------|-----------|--------|
| UNITED S | TATECI | ロルではれて | . WIND . |         | 1 A DTZ / |        |
| OMITED 3 | INIESI | PACENI | MINU     | IRAUEII | י אאוי    | UFFICE |
|          |        | // //  |          |         |           |        |

In re Appln. of: Aspar et al.

Appln. No.:

10/534,199

Filed:

October 16, 2006

For:

Method for Forming a Brittle Zone in a

Substrate by Co-Implantation

Attorney Docket No:

9905/25

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

**TRANSMITTAL** 

Art Unit: 2812

Sir:

| Atta | che | d is | lare: |
|------|-----|------|-------|
|      |     |      |       |

| $\boxtimes$ | An Information Disclosure Statement, 2 pages, Form PTO-1449, 1 page; Japanese Unexamined Paten Publication No. JP 11-87668 and English translation thereof. |
|-------------|---|
| _           |   |

Return Receipt Postcard  $\boxtimes$ 

| ree c       | alculation:  |
|-------------|--|
| $\boxtimes$ | No additional fee is required.   |
|             | Small Entity.  |
|             | An extension fee in an amount of \$ for amonth extension of time under 37 C.F.R. § 1.136(a). |
|             | A petition or processing fee in an amount of \$ under 37 C.F.R. § 1.17().                    |
|             | An additional filing fee has been calculated as shown below:                                 |

|            |                                     |           |                                    |                  | Sma     | II Entity |    | Not a S  | mall Entity |
|------------|-------------------------------------|-----------|------------------------------------|------------------|---------|-----------|----|----------|-------------|
| <u>.</u> . | Claims Remaining<br>After Amendment |           | Highest No.<br>Previously Paid For | Present<br>Extra | Rate    | Add'l Fee | or | Rate     | Add'l Fee   |
| Total      |                                     | Minus     |                                    |                  | x \$25= |           |    | x \$50=  |             |
| Indep.     |                                     | Minus     |                                    |                  | x 100=  |           |    | x \$200= |             |
| First Pre  | esentation of Multiple D            | ep. Claim | <u> </u>                           |                  | +\$180= |           |    | + \$360= |             |
|            |                                     |           |                                    |                  | Total   | \$        |    | Total    | \$          |

#### Fee navment

| ,           | out monte  |
|-------------|--|
|             | A check in the amount of \$ is enclosed.   |
|             | Please charge Deposit Account No. 23-1925 in the amount of \$ . A copy of this Transmittal is enclosed for this purpose. |
|             | Payment by credit card in the amount of \$ (Form PTO-2038 is attached).  |
| $\boxtimes$ | The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.             |

and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Date January 25, 2007

Jasper W. Dockrey (Reg. No. 33,868)

Respectfully submitted,

BRINKS HØEER GILSON & LIONE

NBC Tower - Suite 3600, 455 N. Cityfront Plaza Drive, Chicago, IL 60611-5599

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Commissioner for Patents P.O. Box 1450

P.O. Box 1450 Alexandra, VA 22313-1450

on

January 25, 2007

**Date of Deposit** 

Jasper W. Dockrey

Name of applicant, assignee or Registered Representative

Signature

January 25, 2007

Date of Signature

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Aspar et al.

Appln. No.: 10/534,199

Filed: October 16, 2006

For: Method for Forming a Brittle

Zone in a Substrate by Co-

**Implantation** 

Attorney Docket No: 9905/25

Art Unit:

Examiner: Not Yet Assigned

2812

### INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(c), Applicants hereby cite the following reference(s):

## **FOREIGN DOCUMENTS**

| DOCUMENT                           | DATE           | COUNTRY |
|------------------------------------|----------------|---------|
| NUMBER Number-Kind Code (if known) |                |         |
| JP 11-87668                        | March 30, 1999 | Japan   |

The document referenced above was cited in the International Search Report for the corresponding PCT patent application no. PCT/FR03/03256. A non-certified English translation of that document is also filed herewith.

Applicants are enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). The cited reference discloses a method for manufacturing an SOI substrate in which a rare gas is implanted into the substrate prior to implanting hydrogen. Applicants respectfully request the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Respectfully submitted,

January 25, 2007

Date

dasper W. Dockrey (Reg. No. 33,868)

# NCES CONSIDERED EXCEPT WHERE LINED THROUGH. /BS/

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(use several sheets if necessary)

SERIAL NO.

10/534,199

9905/25

FILING DATE
October 16, 2006
2812

APPLICANT(S): Aspar et al.

JAN 2 9 2007

#### REFERENCE DESIGNATION

# **U.S. PATENT DOCUMENTS**

| EXAMINER INITIAL |     | DOCUMENT NUMBER Number-Kind Code (if known) | DATE | NAME | CLASS/<br>SUBCLASS | FILING<br>DATE |
|------------------|-----|---|------|------|--------------------|----------------|
|                  | A1  |   |      |      |                    |                |
|                  | A2  |   |      |      |                    |                |
|                  | A3  |   |      |      |                    |                |
|                  | A4  |   |      |      |                    |                |
|                  | A5  |   |      |      |                    |                |
|                  | A6  |   |      |      |                    |                |
|                  | A7  |   |      |      |                    |                |
|                  | A8  |   |      |      |                    | .,             |
|                  | A9  | -   |      |      |                    |                |
|                  | A10 |   |      |      |                    |                |
|                  | A11 |   |      |      |                    |                |
|                  | A12 |   |      |      |                    |                |
|                  | A13 |   |      |      |                    |                |

#### **FOREIGN PATENT DOCUMENTS**

| EXAMINER<br>INITIAL |    | DOCUMENT NUMBER Number-Kind Code (if known) | DATE       | COUNTRY | CLASS/<br>SUBCLASS | TRANSLATION<br>YES OR NO |
|---------------------|----|---|------------|---------|--------------------|--------------------------|
| /BS/                | B1 | JP 11-87668                                 | 03/30/1999 | Japan   |                    | Yes                      |
|                     | B2 |   |            |         |                    |                          |
|                     | B3 |   |            |         |                    | <del></del>              |
| <u> </u>            | B4 |   |            |         |                    |                          |
|                     | B5 |   |            |         |                    |                          |

| EXAMINER<br>INITIAL | OTHER ART – NON PATENT LITERATURE DOCUMENTS  (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published. |  |  |  |  |  |
|---------------------|---|--|--|--|--|--|
|                     | C1  |  |  |  |  |  |
|                     | C2  |  |  |  |  |  |
|                     | C3  |  |  |  |  |  |
|                     | C4  |  |  |  |  |  |
|                     | C5  |  |  |  |  |  |
|                     | C6  |  |  |  |  |  |

| <b>EXAMINER</b> /Bradley Smith/ | DATE CONSIDERED | 02/16/2009 |  |
|---------------------------------|-----------------|------------|--|
|                                 | <u></u>         |            |  |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.